



Margin Lending AFS Licence Variations

Tap into Gold Seal's knowledge and experience to vary your licence for a margin lending authorisation - we have helped more than 120 financial services intermediaries with their AFS licence applications or variations!! We offer 3 services – tailored for your budget and available time.

Do-It-Yourself Kit

All the documents you need to vary your licence:

- **Online Application Guidance** – Step by step guide to the authorisations you need and the information you need to supply about your people and business systems in the online Licence Application.
- **Template Core Proofs** – Core Proof outlines, fully populated with instructions and examples that you can adapt to suit your application.
- **Gearing Policy & Procedures Template** - A draft Gearing Policy containing procedures for notification of margin call and your process for assisting clients with margin call. ASIC is asking licensees to provide detailed information about their policy. Our draft policy will help you to explain your approach to gearing and margin call.

Optional Extras

\$1,670 incl. GST

And if you need more help?

Template Additional Proofs – *Only pay for what you need! Buy them individually when you know exactly which Additional Proofs ASIC requires* - \$385 incl. GST (per proof).

Criminal History and Bankruptcy checks - \$220 incl. GST (per person).

Assistance from Gold Seal's technical team - \$385 incl. GST (per hour).

Lodgement Service

We lodge your Application for you...

using the Core Proofs and Online Application that you prepare using our DIY Kit and deal with ASIC on your behalf.

\$2,750 incl. GST

Includes the DIY Kit, Gearing Policy & Procedures Template + 2.5 hours of technical assistance from Gold Seal.

Optional Extras

And if you need more help?

Template Additional Proofs – *Only pay for what you need! Buy them individually and tailor them when you know exactly which Additional Proofs ASIC requires* - \$385 incl. GST (per proof)

Criminal History and Bankruptcy checks - \$220 incl. GST (per person).

Extra assistance from Gold Seal's technical team - \$385 incl. GST (per hour).

Premium Service

Let us do all the work for you.

We prepare your Online Application and Core Proofs, lodge them with ASIC and deal with ASIC on your behalf. We also prepare the Additional Proofs requested by ASIC.

\$6,750 incl. GST

Includes: Core Proofs, Additional Proofs, Gearing Policy & Procedures Template + 5 hours of technical assistance from Gold Seal.

Optional Extras

And if you need more?

Criminal History and Bankruptcy checks - \$220 incl. GST (per person).

Extra assistance from Gold Seal's technical team - \$385 incl. GST (per hour).

These services are suitable for small to medium sized business seeking straightforward authorisations and/or variations

- **Save money** by using Gold Seal's 'tried and true' DIY Kit to vary your AFS Licence to include Margin Lending.
- **Save time** by following our step-by-step instructions on completing the online Application and using our templates to prepare your Proofs. No need to wade through the ASIC Kits and Regulatory Guides!
- **Save hassles** – Comprehensive guidance on how to answer the myriad of questions in the Licence Application. No need to wonder what they might mean.

Contact us

For more information or to discuss your licence application, call us on **(02) 8353 6600** or email officeadmin@goldseal.net.au



2 Easy Steps to Order:

- 📄 Complete this Purchase Order and Payment form
- 📠 Return by Fax to 02 8353 6699 or ✉ Email to officeadmin@goldseal.net.au
- or 📧 Post to Gold Seal, PO Box 443, Potts Point NSW 1335

MY COMPANY INFORMATION

Company name		Contact name	
Mailing address		Email address	
State	Postcode	Phone no. (.....)	Fax no. (.....)
<input type="checkbox"/> FPA member (Membership No:		<input type="checkbox"/> AAP member	<input type="checkbox"/> AIOFP member

**FPA, AAP and AIOFP Members receive a 5% discount on all Gold Seal products.*

I WOULD LIKE TO PURCHASE

Prices inclusive of GST.

- | | |
|---|-------------------|
| <input type="checkbox"/> "Do it Yourself" Kit | \$1,670.00 |
| <input type="checkbox"/> Lodgement Service | \$2,750.00 |
| <input type="checkbox"/> Premium Service | \$6,750.00 |
- Additional Services – I would like Gold Seal to:**
- | | |
|---|----------------------------|
| <input type="checkbox"/> Provide Technical Support with my Variation Application (invoiced fortnightly) | \$385.00 per hour |
| <input type="checkbox"/> Undertake Criminal History and Bankruptcy Checks for me. No. of persons ____ | \$220.00 per person |
| <input type="checkbox"/> Supply a Gearing Policy and Procedures Template only | \$330.00 |

Amount Payable (incl. GST): AU \$

PAYMENT DETAILS

- I enclose a **Cheque** for \$..... (incl GST) made payable to **Gold Seal Risk Management Services Pty Ltd.**
- Please charge \$..... (incl GST) to my **Credit Card**: VISA MASTERCARD
(2% credit card fee will be charged in addition.)

Cardholder's Name	Credit Card Number
Expiry Date	Cardholder's Signature

- I have authorised payment for \$..... (incl GST) using **Electronic Funds Transfer** to the account below:

Account: Gold Seal Risk Management Services Pty Ltd	Bank: St George Bank Limited
ABN: 72 100 974 294	Branch: Level 12, 55 Market Street, Sydney NSW 2000
	BSB No. 332 - 027
	Account No. 552 158 690

All services are provided on our Terms of Business Agreement (v0110) available at www.goldseal.com.au

Thank you for your order. We will despatch your products and a tax invoice within 5 business days of receipt of payment. For further information about your order, please call 02 8353 6600.