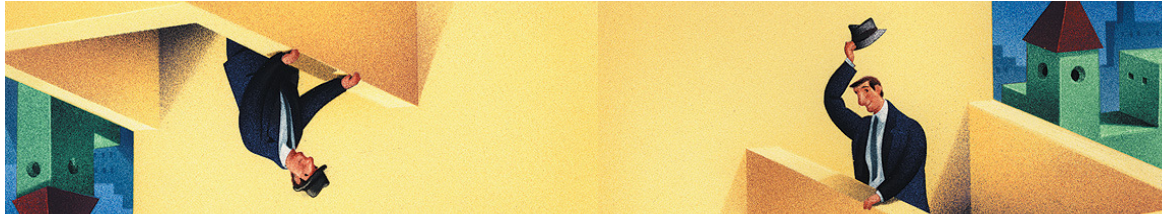




Helping you unlock the door to learning ....

■ MELBOURNE ■ SYDNEY ■ GOLD COAST

## Responsible Manager MasterClass



Many Responsible Managers worry about protection and liability in their critical roles, and are concerned that there is very little relevant training around to help them come to grips with their obligations. Retaining senior staff or appointing new employees in Responsible Manager roles is proving difficult in many businesses.

This course is designed to raise the awareness of Responsible Managers about the potential liabilities inherent in that role, and help to plan the management of compliance and mitigation of risk – to themselves and their businesses.

### Topics & Features

#### ❖ Key Topics

- **The Role and Responsibilities of Responsible Managers** - What it means to be a Responsible Manager, the qualifications and experience required, responsibilities and overall liabilities involved.
- **Regulation in the Financial Services Industry** - The role of ASIC, APRA, ACCC and industry bodies and a discussion of some of the most recent FSR refinements.
- **Obligations on AFS Licensees** - An in-depth view of the day-to-day responsibilities of a Responsible Manager and how to ensure compliance in matters such as the products and services provided and the way they are delivered; staff and resources employed; and management issues such as financial adequacy. Includes a compliance action plan to assist with the planning and implementation of the compliance program.

#### ❖ Course Features

- A full day workshop to maximise understanding of this critical and challenging role
- Interactive activities to enhance understanding and expand skills
- Learning from the experiences of other delegates
- Course notes for reference in the workplace

#### ❖ Pre-Requisite

- It is recommended that participants have an understanding of financial services regulatory framework.

#### ❖ Professional Development Hours: 6

### Workshop

#### ❖ Who should attend?

- Responsible Managers, Compliance Managers and those in line for Responsible Manager roles.

#### ❖ When are they scheduled?

- Regular public courses are held in major capital cities. For information on the dates and times for our next course, consult the **Training Calendar** on [www.goldseal.com.au](http://www.goldseal.com.au).
- We also offer in-house courses which can be arranged to suit your convenience.

### An Invitation to Contact

To book an in-house course or for more information about training and education, call Sheila Baker or Liz Rayner on +61 (0)3 9510 5100 or email [trainingadmin@goldseal.com.au](mailto:trainingadmin@goldseal.com.au). To download Gold Seal Training Registration Form, click [here](#).

To view our latest Training Directory, visit [www.goldseal.com.au](http://www.goldseal.com.au)

